Attorney's Docket No. 5389.P001PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Patent Application of: )

Mark Epstein )

Examiner: Unassigned )

Application No.: 10/005,396 )

Art Unit: 2185 )

Filed: December 4, 2001 )

For: A METHOD AND APPARATUS )  
FOR MANAGING A NETWORK )

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Assistant Commissioner for Patents  
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Pursuant to 37 C.F.R. § 1.97, this Information Disclosure Statement is being submitted under one of the following (as indicated by an "X" to the left of the appropriate paragraph):

  X   37 C.F.R. §1.97(b).

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       A statement pursuant to 37 C.F.R. §1.97(e) or

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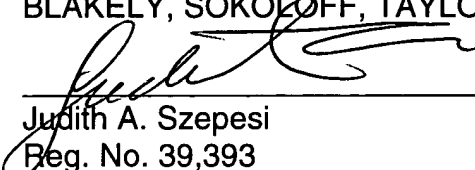
- (1) A statement pursuant to 37 C.F.R. §1.97(e); and
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Respectfully submitted,

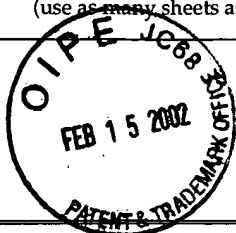
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 2/7, 2002

  
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Judith A. Szepesi  
Reg. No. 39,393

12400 Wilshire Blvd.  
Seventh Floor  
Los Angeles, CA 90025-1026  
(408) 720-8300

<b>Substitute for Form 1449A/PTO (Modified)</b> (use as many sheets as necessary)	<b>Attorney Docket No.:</b> 005389.P001	<b>Application Number:</b> 10/005,395
	<b>First Named Inventor:</b> Mark Epstein	<div style="text-align: center;"> <b>RECEIVED</b>          FEB 21 2002          Technology Center 2100       </div>
	<b>Filing Date:</b> December 4, 2001	



**OTHER ART - NO PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
/	AA	Ai Metrix , Brochures, 27 pages	
/	AB	Arcana Networks, www.arcananetworks.com/customers/customers.htm Downloaded Jan 3, 2001, "Provisioneer product line" Broadband network solutions for ASPa and SSP's. 7 pages.	
/	AC	"Enrole," Broadband solutions for websites, from Access 360, www.access360.com/products.html downloaded Dec. 27, 2000,. 8 pages	
/	AD	Access 360, "Resource Provisionings," Brochure, 7 pages.	
/	AE	STEPHANIE SANBORN, Access 360 "Directory Apps Debut," InfoWorld, July 26, 2000, 5 pages.	
/	AF	PAULA MUSICH, Access 360, "Keeping an Eye on Access," eWEEK magazine, July 23, 2000, 3 pages.	
/	AG	"Bindview Delivers Industry's most Comprehensive Security Management Solution for Heterogeneous Environments," downloaded on January 29, 2001, 6 pages.	
/	AH	"Bindview - bv-Control for Windows 2000" brochure, 2 pages.	
/	AI	"Bindview - bv-Control for NetWare Servers and NDS Enterprise," Brochure, 2 pages.	
/	AJ	Baltimore Technologies, "Baltimore Technologies Strengthens Leadership with Acquisition of Content Technologies," downloaded from www.baltimore.com , January 29, 2001, 4 pages.	
/	AK	LEE COPELAND, Broadjump Technologies, "Bandwidth Bandwagon," for Upside Magazine, www.upside.com/taxis/mvm/news.htm, November 2000 issue, 5 pages.	
/	AL	"Broadjump Teams With Cisco to Deliver the Cisco Order-to-Service DSL Provisioning Solution," www.biz.yahoo.com/cnw/000912/broadjump .html, 2 pages.	
/	AM	KIRK LADENDORF, Broadjump Technologies, "Leap of Faith," for Austin American Statesman, www.austin360.com, November 1, 1999, Business section, 2 pages.	
/	AN	CARL WEINSCHENK, "Broadjump Rolls Out New Provisioning System," CTExpo.com. www.teledotcom.com, October 12, 2000, 2 pages.	
/	AO	MICHAEL MARTIN "Broadjump Unveils Broadband tools," Network World Fusion/The Edge, November 27, 2000, www.nwfusion.com, 2 pages.	
/	AP	"Time Warner Cable Purchases One Million Licenses of Broadjump's Virtual Truck Installer," Broadjump Technologies, downloaded from www.biz.yahoo.com on October 12, 2000, 2 pages.	
/	AQ	Broadjump Technologies, "Sprint Selects Broadjump Solution to Offer Self-Installation of Residential Broadband Service", www.biz.yahoo.com, 1 page.	

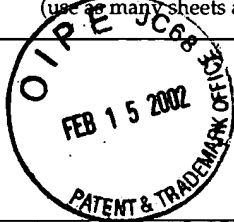
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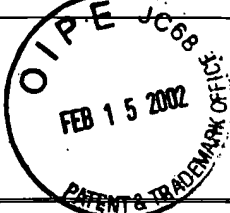
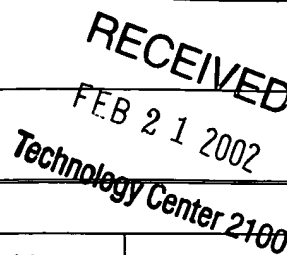
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>
/	AR	Broadjump Technologies, "Broadjump Fulfillment Solution," <a href="http://www.broadjump.com/products/services.main.html">www.broadjump.com/products/services.main.html</a> October 3, 2000, 16 pages.	
/	AS	Cisco Systems, "Cisco Netsys Service-Level Management Suite 4.1," Brochures downloaded from <a href="http://www.cisco.com/warp/public">www.cisco.com/warp/public</a> , 16 pages.	
/	AT	Cisco Systems, "CiscoWorks2000," CiscoView 5.x, Datasheets, 73 pages.	
/	AU	Checkpoint Software Technologies, "Secure Virtual Networking," <a href="http://www.checkpoint.com">www.checkpoint.com</a> , downloaded October 2000, 1 page.	
/	AV	Checkpoint Software Technologies, "Provider-1," <a href="http://www.checkpoint.com">www.checkpoint.com</a> , brochures, 74 pages.	
/	AW	LAUREN WANG, "Competitive Analysis," Ponte Communications, February 13, 2001, pp 1-56.	
/	AX	"Cplane Service Control Platform (SCP) Delivers Premium Services on Demand," <a href="http://www.cplane.com/company.html">www.cplane.com/company.html</a> , January 3, 2001, 11 pages.	
/	AY	Dorado Software, "RedCell™ Assure," downloaded from <a href="http://www.doradosoftware.com">www.doradosoftware.com</a> on December 12, 2000, 43 pages.	
/	AZ	Dorado Software, "Dorado's Network Service Personalization Products," downloaded from <a href="http://www.doradosoftware.com">www.doradosoftware.com</a> on December 12, 2000, 23 pages.	
/	BA	"Ellacoya Networks Service Generation System™ Enables Revenue Generation," Ellacoya Networks, <a href="http://www.ellacoyanetworks.com">www.ellacoyanetworks.com</a> , November 11, 2000, 25 pages.	
	BB	"Emperative opens DSL to Subscribers Selection and Control of Broadband Application Bandwidth," Emperative Corporation, <a href="http://www.emperative.com">www.emperative.com</a> , downloaded October 18, 2000, 28 pages.	
	BC	"e-Security Agents and e-Security Administrator Workbench™ Real-Time Security Awareness and Response," <a href="http://www.esecurity.com/products/agents.asp">www.esecurity.com/products/agents.asp</a> on January 22, 2001, 36 pages.	
	BD	"VPN-On-Demand™ Features and Components," eTunnels, Inc., 1 page/2 sides.	
	BE	"HarmonyCom" product datasheet regarding provisioning based real-time configuration for networks, from HarmonyCom, <a href="http://www.harmonycom.com/products/accServ.html">www.harmonycom.com/products/accServ.html</a> , October 30, 2000, 12 pages.	
	BF	"PerformancePro - IP Performance Provisioning Solutions," IPHighway, Inc. <a href="http://www.iphighway.com">www.iphighway.com</a> , 6 pages.	
	BG	"Policy-Based Networking Products, Design and Architecture," Volume 3, January 2000, IPHighway, Inc., 27 pages.	

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 005389.P001	Application Number: 10/005,396
		First Named Inventor: Mark Epstein	
		Filing Date: December 4, 2001	
<b>OTHER ART – NO PATENT LITERATURE DOCUMENTS</b>			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Translation <sup>2</sup>

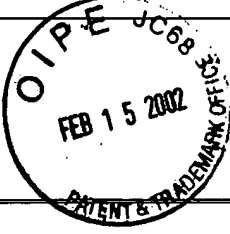
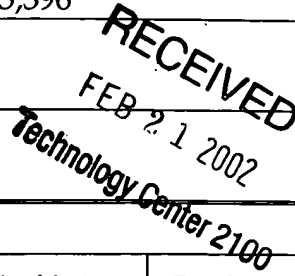
/	BH	IPSoft, Inc. "UPX – IPsec QoS VPN Service Application," <a href="http://www.ispsoft.com/prupx.html">www.ispsoft.com/prupx.html</a> , February 22, 2001, 11 pages.	
/	BI	"Enhancements to Kabira's Objects Which Bring Next-Level Performance to 24X7 Organizations," datasheet from Kabira Technologies, January 29, 2001, 6 pages.	
/	BJ	Datasheets from <a href="http://www.netsolve.com">www.netsolve.com</a> , downloaded January 21, 2001, 16 pages.	
/	BK	"Nokia Horizon Manager," Nokia Corporation, <a href="http://www.nokia.com">www.nokia.com</a> , October 10, 2001, brochures regarding security products from Nokia, 8 pages.	
/	BL	Nortel Networks Product Briefs "Passport 15000-BSN Broadband Service Node," 31 pages.	
/	BM	Nortel Networks Product Brief "Preside for Access Networks," Nortel Networks brochure # 87051.02/July 2000, 72 pages.	
/	BN	"Oblix NetPoint Basics," downloaded on January 3, 2001 from <a href="http://www.oblix.com">www.oblix.com</a> , Seven page brochure regarding NetPoint software for Security and Logon ID processing on the internet, 26 pages.	
/	BO	"MPLS – Scalability, Flexibility, and Security For activating services on IP networks," Brochure from Orchestream, printed in 2000 (brochure#ORC/MPLS/DS/V1), 20 pages.	
/	BP	Orchestream, "A Practical Approach to The Profitable Delivery of Next-Generation Services," Brochures, printed in 2000, 32 pages.	
/	BQ	"Orchestream Products: Introduction to Orchestream's Product Family," downloaded from <a href="http://www.actualit.com">www.actualit.com</a> , 14 pages.	
/	BR	Sanctum, Inc., "Web Application Security – AppShield™ 3.0," 4 page data sheet downloaded from <a href="http://www.sanctuminc.com">www.sanctuminc.com</a> on December 2000.	
/	BS	Sanctum, Inc. Sanctum Endorses Cisco SAFE e-Business Security Blueprint; Joins Cisco Security and VPN Associate Program," downloaded from <a href="http://www.sanctuminc.com">www.sanctuminc.com</a> , January 28, 2001, 2 pages.	
/	BT	"Sanctum, Inc. Product Overview," December 2000, 9 pages.	
/	BU	"The Theory Behind Clear Unified Access Management," Securant Technologies, Inc., downloaded from <a href="http://www.securant.com">www.securant.com</a> on April 24, 2001, 3 pages.	
/	BV	"ClearTrust – Secure Control," data sheet from Securant Technologies, 1999, 4 pages.	
/	BW	"ClearTrust SecureControl 4.0 – Integrate Web Server platforms into one authentication system," 4 pages from Windows Magazine online, November 20, 2000.	
/	BX	"Plumbing the Internet," by Andy Serwer, Fortune Magazine, April 4, 2000, 5 pages.	

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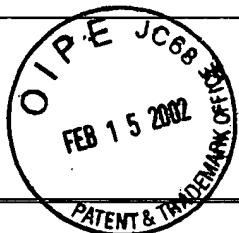
/	BY	"Smartpipes Global IP Services," downloaded from <a href="http://www.smartpipes.com">www.smartpipes.com</a> on October 26, 2000, 1 page/2 sides.	
/	BZ	"SmartPipes datasheets," downloaded from <a href="http://www.smartpipes.com">www.smartpipes.com</a> on October 26, 2001, 22 pages.	
/	CA	"SolSoft Releases New Version of Linux Security Freeware," Sol Soft Press Release, dated February 20, 2001, downloaded from <a href="http://www.solsoft.com">www.solsoft.com</a> on February 28, 2001, 2 pages.	
/	CB	"SolSoft Releases Universal Version of eBusiness Security Management Software," Sol Soft Press Release, dated November 7, 2000, downloaded from <a href="http://www.solsoft.com">www.solsoft.com</a> on January 4, 2001, 2 pages.	
/	CC	"SolSoft Announces Major New Release of Leading Policy Management Solution for eBusiness Security," Sol Soft Press Release, dated May 23, 2000, downloaded from <a href="http://www.solsoft.com">www.solsoft.com</a> on January 4, 2001, 2 pages.	
/	CD	BARB GOLDWORM "Policy-Based security management with SolSoft," NetworkWorldFusion, as downloaded from <a href="http://www.nwfusion.com">www.nwfusion.com</a> on January 4, 2001, 5 pages.	
/	CE	"What's new in SolSoft NP 4.1," datasheet downloaded from <a href="http://www.solsoft.com">www.solsoft.com</a> on January 4, 2001, 4 pages.	
/	CF	"Branch Office Security Policy", SolSoft data sheet, downloaded from <a href="http://www.solsoft.com">www.solsoft.com</a> on January 4, 2001, 3 pages.	
/	CG	"SolSoft NP: Putting Security Policies into Practice," Brochure from Enterprise Management, April 2000, 15 pages.	
/	CH	"SolSoft NP – the Premier Solution for Managing eBusiness Security," Brochure from SolSoft, November 2000, 2 pages.	
/	CI	"SolSoft NP Tutorial – Policy Management for eBusiness Security," Manual from SolSoft NP v4.0, 10 pages.	
/	CJ	"SolSoft Q&A" from the SolSoft web site, <a href="http://www.solsoft.com">www.solsoft.com</a> , downloaded on February 28, 2001, 5 pages.	
/	CK	"SolSoft – Intranet Security Policy," Datasheet downloaded from <a href="http://www.solsoft.com">www.solsoft.com</a> on January 4, 2001, 3 pages.	
/	CL	"SolSoft – Extranet Security Policy," Datasheet downloaded from <a href="http://www.solsoft.com">www.solsoft.com</a> on January 4, 2001, 3 pages.	

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/	CM	"SolSoft - SolSoft NP," Specifications Datasheet downloaded from <a href="http://www.solsoft.com">www.solsoft.com</a> on January 4, 2001, 2 pages.	
/	CN	"SolSoft - Policy Management for eBusiness Security," Brochure from SolSoft November 2000, 2 pages.	
	CO	Press Kit from SolSoft dated Fall of 2000, pp 3-11.	
/	CP	"Distributed Firewall Architecture of Defense," Sygate® Enterprise Network, downloaded from <a href="http://www.sygate.com">www.sygate.com</a> on January 3, 2001, 6 pages.	
/	CQ	"Sygate® Personal Firewall - User friendly security to stop internet intruders," product description downloaded from <a href="http://www.sygate.com">www.sygate.com</a> on Jan. 3, 2001, 5 pages.	
/	CR	"Sygate® Office Network Features," product description downloaded from <a href="http://www.sygate.com">www.sygate.com</a> on January 3, 2001, 3 pages.	
/	CS	"About Sygate Technologies," company background and product brief, downloaded from <a href="http://www.sygate.com">www.sygate.com</a> on January 3, 2001, 4 pages.	
/	CT	"Sygate Technologies, Inc. Unveiled to Provide New Solutions to Manage the Mobile Enterprise," Sygate Company News, downloaded from <a href="http://www.sygate.com">www.sygate.com</a> on January 3, 2001, 2 pages.	
/	CU	"Sygate Technolgy News," various Press Releases from Sygate Technologies downloaded on January 3, 2001, 5 pages.	
/	CV	"Sygate Enterprise Network - Managing Security for Mobile and Remote Workers," Data sheet from Sygate, 2000, 6 pages.	
/	CW	"NetProvision's IP-VPN," Brochure from Syndesis, July 1, 2000, 4 pages.	
	CX	"NetProvision Suite," a description of software suite by Syndesis, downloaded from <a href="http://www.sydesis.com">www.sydesis.com</a> on October 3, 2000, 1 page.	
	CY	"NetProvision Reliable Service Activation," a description of software by Syndesis, downloaded from <a href="http://www.sydesis.com">www.sydesis.com</a> on October 3, 2000, 1 page.	
	CZ	"VPN - Delivering Services Across Core MPLS Networks," From Syndesis, Inc., downloaded from <a href="http://www.syndesis.com">www.syndesis.com</a> on October 3, 2000, 3 pages.	
	DA	"DSL - NetProvision Automates the Provisioning of DSL Services," downloaded from brochure from Syndesis on October 3, 2000, 4 pages.	
	DB	"Equipment Module Datasheets," From Syndesis, Inc., downloaded from <a href="http://www.syndesis.com">www.syndesis.com</a> on October 3, 2000, 8 pages.	
	DC	"The Network is the Database," brochure from Syndesis, July 2000, 9 pages.	

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	DD	"Provisioning Enables OSS Integration," From Syndesis, Inc. Downloaded from <a href="http://www.syndesis.com">www.syndesis.com</a> on October 3, 2000, 2 pages.	
	DE	"The Key to Service Differentiation," from Syndesis, Inc. brochure, 2 pages.	
	DF	"Copper Mountain," a datasheet from Syndesis, downloaded from <a href="http://www.syndesis.com">www.syndesis.com</a> on October 3, 2000, 8 pages.	
	DG	"Automating the New Network," a brochure from Syndesis, July 2000, 10 pages.	
	DH	Various Press Releases from Syndesis, June 19, 2000-December 4, 2000, 15 pages.	
	DI	"Accelerated Time-To-Market for Customized, Differentiated Services," Press Release from Syndesis, 2 pages	
	DJ	"Policy Commander," TBD Networks brochure, 3 pages.	
	DK	Various Datasheets downloaded from TBD networks.com, October 4, 2000, 12 pages.	
	DL	"The Solution: TBD Networks," brochure from TBD Networks, 2000, pp. 12-20.	
	DM	TIM GREENE, "Software for Managing VPNs," by Network World VPN Newsletter, July 19, 2000, 1 page.	
	DN	"Tivoli NetView - A Comprehensive Solution for Network Management," brochure #0900 from Tivoli, 2000, 4 pages.	
	DO	"Tivoli Netview 6.0," Product brochure downloaded from <a href="http://www.tivoli.com">www.tivoli.com</a> on December 26, 2000, 3 pages.	
	DP	MARC SONGINI, "N + I Preview: Tivoli gives NetView a Refresh," Network World, May 5, 2000, 1 page.	
	DQ	"New, Improved Platforms," a Press Release from wtonline, dated July 3, 2000, downloaded on December 26, 2000 from <a href="http://www.wtonline.com">www.wtonline.com</a> , 2 pages.	
	DR	"Tivoli Upgrades NetView for Simpler Central Management," a Press Release from Xephon dated February 18, 2000, downloaded from <a href="http://www.xephon.com">www.xephon.com</a> on December 26, 2000, 1 page.	
	DS	"Tivoli NetView® 6.0 at a glance," data sheet from Tivoli, downloaded from <a href="http://www.tivoli.com">www.tivoli.com</a> on December 26, 2000, 3 pages.	
	DT	"Tivoli NetView® Further Simplifies Network Management with Java-Based Console, Intuitive Features and More Reporting Flexibility," Press Release from Tivoli dated May 8, 2000, and downloaded from <a href="http://www.tivoli.com">www.tivoli.com</a> on December 26, 2000, 2 pages.	
	DU	"Tivoli NetView® Distribution Manager," a data sheet from Tivoli downloaded on January 10, 2001, 1 page.	

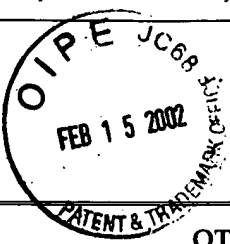
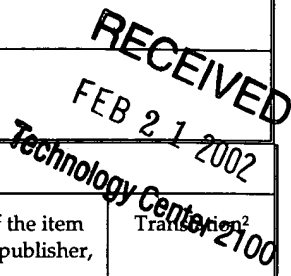
Examiner Signature		Date Considered	
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<sup>1</sup>Unique citation designation number. <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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Substitute for Form 1449A/PTO (Modified) (use as many sheets as necessary)		Attorney Docket No.: 005389.P001	Application Number: 10/005,396
		First Named Inventor: Mark Epstein	
		Filing Date: December 4, 2001	
OTHER ART – NO PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	Transmittal <sup>2</sup>
	DV	"Security Management and Access Control," Data sheet on Tivoli® SecureWay® software, downloaded from <a href="http://www.tivoli.com">www.tivoli.com</a> on October 26, 2000, 2 pages.	
	DW	"Tivoli® SecureWay® Policy Director," Product brochure from Tivoli downloaded on October 26, 2000, 10 pages.	
	DX	"Tivoli® SecureWay® Public Key Infrastructure Features," downloaded from <a href="http://www.tivoli.com">www.tivoli.com</a> on October 31, 2000, 1 page.	
	DY	"Tivoli® Change Management," brochure number 0899 from Tivoli, 3 pages.	
	DZ	"Tivoli Network Management Brochure," downloaded from <a href="http://www.tivoli.com">www.tivoli.com</a> on December 26, 2000, 6 pages.	
	EA	"Tivoli Change Management Suite," brochure #00-059M18-13 from Tivoli, dated 1999, 4 pages.	
	EB	"Tivoli® SecureWay® User Administration," brochure number 0400 from Tivoli, 3 pages.	
	EC	"Tivoli® SecureWay® Risk Manager – Integration Overview," brochure from Tivoli, 6 pages.	
	ED	"Tivoli® Risk Manager®, a Product Overview," from Tivoli, dated November 2000, 26 pages.	
	EE	"Tivoli® SecureWay® Security Manager – Serious Enterprise Security," from Tivoli, 2000, 10 pages.	
	DF	"Tivoli® SecureWay® Risk Manager – Highlights," brochure from Tivoli, 10 pages.	
	DG	"Zone Labs and Trend Micro Partner to Provide Best-of-Breed Personal Firewall and Antivirus Protection for Consumers and Corporations," Press Release from Zone Labs, downloaded from <a href="http://www.zonelabs.com">www.zonelabs.com</a> on February 20, 2001, 3 pages.	
	DH	"Smarter Security for the Extended Enterprise," brochure SSEE.02.01.01, from Zone Labs, 4 pages.	
	DI	Various Press Releases downloaded from Zone Labs at <a href="http://www.zonelabs.com">www.zonelabs.com</a> on February 20, 2001, 13 pages.	
	DJ	"Good Security is Essential – US businesses lose \$100 billion per year to industrial spying," by Ira Winkler, Corporate Espionage, Prima Communications, 1997, a brochure from Zone Labs, 1999, 10 pages.	
	DK	"ZoneAlarm™ Pro for the Enterprise," a brochure from Zone Labs, 2 pages.	

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